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PATENT APPLICATION

ATTORNEY DOCKET NO. 10010315-1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Fabio CASATI, et al

Confirmation No.: 8306

Application No.: 10/032,893

Examiner: Ali, S. J.

Filing Date: 10/26/01

Group Art Unit: 2195

Title: DYNAMIC TASK ASSIGNMENT IN WORKFLOWS

Mail Stop Amendment  
Commissioner For Patents  
PO Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

This Information Disclosure Statement is submitted:

- ☒ under 37 CFR 1.97 (b), or  
(Within three months of filing national application; or date of entry of national application; or before mailing date of first action on the merits; whichever occurs last)
- ☐ under 37 CFR 1.97 (c), together with either a:  
☐ Statement under 37 CFR 1.97 (e), or  
☐ a \$180 fee under 37 CFR 1.17 (p), or  
(After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97 (d), together with either a:  
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Applicant(s) submit herewith form PTO 1449 - Information Disclosure Statement together with any required copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

- ☐ A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individual(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an english language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed.

It is requested that the information disclosed herein be made of record in this application.

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Respectfully submitted,

Fabio CASATI, et al

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PTO/SB/08B (08-03)

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STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

Application Number 10/032,893

Filing Date 10/26/01

First Named Inventor Fabio CASATI

Art Unit 2195

Examiner Name Ali, S. J.

Attorney Docket Number 10010315-1

Sheet 1 of 1

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		Bertino, E. et al. "A FLEXIBLE MODEL SUPPORTING THE SPECIFICATION AND ENFORCEMENT OF ROLE-BASED AUTHORIZATIONS IN WORKFLOW MANAGEMENT SYSTEMS" 2nd ACM Workshop Nov. 1997	
		Sandu, R. "SEPARATION OF DUTIES IN COMPUTERIZED INFORMATION SYSTEMS" S. Jojodia and C. Landwehr, editors, Database Security IV: Status and Prospects, North-Holland, 1991	
		Alturi, V. et al. "AN EXTENDED PETRI-NET MODEL FOR SUPPORTING WORKFLOWS IN A MULTILEVEL SECURE ENVIRONMENT" 10th IFIP TC11/WG11.3 Int'l Conf. on Database Security, Italy 1996	
		Sandu, R. "TASK-BASED AUTHORIZATION: A PARADIGM FOR FLEXIBLE AND ADAPTABLE ACCESS CONTROL IN DISTRIBUTED APPLICATIONS" 16th NIST-NCSC Nat'l Computer Security Conference, 1993	
		McCarthy, D. et al. "WORKFLOW AND TRANSACTIONS IN INCONCERT" IEEE Data Engineering, 16(2):53-56, June 1993	

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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